



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/610,891	07/06/2000	James McArthur	40567

MAILED  
MAY 04 2001  
CPE JC

PATENT & TRADEMARK OFFICE  
Dean H Nakamura  
Roylance Abrams Berdo & Goodman LLP  
Suite 600  
1300 19th Street NW  
Washington, DC 20036-2680

## FORMALITIES LETTER



\*OC00000005452689\*

Date Mailed: 10/04/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The oath or declaration is unsigned.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o The balance due by applicant is \$ 130.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

## PART 2 - COPY TO BE RETURNED WITH RESPONSE

Receipt date: 05/17/2001 TDO111  
 05/07/2001 HN00R1 00000063 182220 09610891  
 02-FC-205 -65.00 CH

05/07/2001 HN00R1 00000063 182220 09610891  
 02-FC-205 -65.00 CH

Dep & Ref  
Rm 307

40567

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

James McArthur et al.

Serial No.: 09/610,891

Filed: 6 July 2000



Patent Art Unit: Not Assigned

Examiner: Not Assigned

For: **NOVEL CANCER-ASSOCIATED  
ANTIGENS AND METHODS OF THEIR  
IDENTIFICATION AND USE**

**REQUEST FOR REFUND**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The undersigned respectfully request a refund be credited to Deposit Account No. 18-2220 for the following amounts:

(1) There are only three independent claims, claims 1, 18 and 20. Claim 11 depends on claim 1. Therefore, there is an inappropriate \$78.00 charge for four independent claims when there are instead only three independent claims. Please credit Deposit Account No. 18-2220 in the amount of \$78.00.

(2) Small Entity status applies to the instant application. Therefore, one-half of the paid large entity filing fee of \$726.00 is to be refunded. Please credit Deposit No. 18-2220 in the amount of \$363.00.

Adjustment date: 11/21/2000 NVILLARI  
07/14/2000 NVILLARI 00000019 09610891  
03 FC:102 -78.00 CR

Adjustment date: 11/21/2000 NVILLARI  
07/14/2000 NVILLARI 00000019 09610891  
01 FC:101 -690.00 OP  
02 FC:103 -75.00 OP  
Roylance, Abrams, Bernd & Goodman, L.L.P.  
1300 19<sup>th</sup> Street, N.W., Suite 600  
Washington, D.C. 20036  
(202) 659-9076  
Dated: 18 October 2000

Respectfully submitted,

Dean H. Nakamura

Attorney for Applicants

Reg. No. 33,981

US PATENT & TRADEMARK  
11/21/2000 NVILLARI 00000011 09610891  
01 FC:201-3 345.00 OP  
02 FC:203 18.00 OP  
03 FC:205 65.00 OP  
Ref: 11/21/2000-NVILLARI 0008203300  
Name/Number: 09610891  
DAM: 182220 \$298.00 CR  
FC: 704

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Section \$/  
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

James McArthur et al.

Serial No.: 09/610,891

Filed: 6 July 2001

For: NOVEL CANCER-ASSOCIATED  
ANTIGENS AND METHODS OF THEIR  
IDENTIFICATION AND USE

Group Art Unit 1643

Examiner: TBA

COMPLETION OF APPLICATION UNDER 37 CFR §1.53

**BOX MISSING PARTS**

The Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

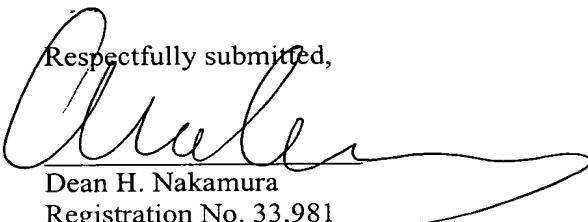
In response to the Notice to File Missing Parts dated 4 October 2000, copy attached, submitted herewith are:

- An executed Declaration and Power of Attorney.
- Applicant is entitled to small entity status.
- Applicant petitions for an extension of five months to respond.
- Kindly charge Deposit Account 18-2220 the amount of \$1010.00 to cover the surcharge fee of \$65.00 and the Petition for Extension of Time fee of \$945.00.
- The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 18-2220. A duplicate copy of this sheet is attached.
  - Any additional patent application processing fees under 37 CFR 1.16.
  - Any additional patent application processing fees under 37 CFR 1.17.

Roylance, Abrams, Berdo & Goodman  
1225 Connecticut Avenue, N.W.  
Washington, D.C. 20036-2680  
(202) 659-9076

Dated: 4 May 2001

Respectfully submitted,

  
Dean H. Nakamura  
Registration No. 33,981